



Australian Government

Department of Health and Aged Care

Office of the Gene Technology Regulator

Notifiable Low Risk Dealing (NLRDs) Reporting Form

This NLRD reporting form constitutes an official form issued by the Gene Technology Regulator (the Regulator) for the purposes of Regulation 13C - Gene Technology Regulations 2001 (the Regulations).

This form also captures information required by the Regulator to meet the requirements of regulation 39 for NLRDs in maintaining a record of genetically modified organisms (GMO) and GM product dealings.

A notification of an NLRD assessed by an Institutional Biosafety Committee (IBC) can be provided to the Regulator using the NLRD Reporting form, in the following ways:

1. at any point in time from the date of assessment until the 30th September of the following financial year (e.g. on the day of assessment, monthly, quarterly etc.);
2. individually or in bulk in the one reporting form.

In the event that the organisation is accredited under the *Gene Technology Act 2000*, reporting of NLRDs must occur **prior** to an accredited organisation's annual report to the Regulator.

The NLRD reporting form is also available for submission using an online portal which can be accessed from the OGTR website.

Has the IBC assessed the dealing to be an NLRD?	
IBC NLRD Identifier	
Assessment Date	
Name of IBC	
Name of organisation giving notice of the dealing *	
Name of organisation(s) proposing to undertake dealing	
Project Title * (please do not include confidential information)	
GMO Details	
Dealing Type(s) *	

* Fields that will be included in the public list of NLRDs on the OGTR website

Notifiable Low Risk Dealing (NLRD) – Explanatory Information

Completing the NLRD form

Fields	Guide to completing the fields
Has the IBC assessed the proposed dealing to be an NLRD?	Only submit NLRDs to the Gene Technology Regulator that have actually been assessed to be an NLRD. (The answer to this should be "Yes".)
IBC NLRD Identifier	Enter a unique identifier (this can include letters and numerals and other characters), eg. "COL 2019/43" Please do not enter extraneous information in this field such as project titles/purpose.
Date of IBC Assessment	This must be a date only (no text) between 21 June 2001 and today's date (future dates not permitted).
Name of IBC	This is the IBC that assessed the dealing. e.g. <i>ABCD Institutional Biosafety Committee</i> .
Name of organisation giving notice of the dealing*	Name of the organisation that submitted the NLRD proposal to the IBC. This should also be the organisation that notifies the Regulator.
Name of organisation(s) proposing to undertake dealing	Name of the organisation(s) proposing to undertake the NLRD. This can be multiple if more than one organisation is involved in undertaking the dealing. Note: The name of an individual person is only required here if the "organisation proposing to undertake the dealing" is actually an individual who is not associated with an organisation, university, research institute, company etc.
Project Title * (please do not include confidential information)	Brief project title, for listing on OGTR website DO NOT include any CCI information in the title.
GMO details	Genus and species (where known) and for viruses, the family. If not known, describe the GMO as best you can. For multiple GMOs, please separate by a comma, or semi colon.
Dealing Type(s)*	The commencement date the regulations used to assess the dealing/s (e.g. [8 Oct 2020] including square brackets) followed by the paragraph number(s) relating to the kinds of dealing relevant for each containment level as detailed in Schedule 3 of the Gene Technology Regulations. Examples are shown below. Example 1: [8 Oct 2019] PC1 - (a), PC2 - (a)(i)(ii) and (g), PC3;

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