



Australian Government

Department of Health and Ageing  
Office of the Gene Technology Regulator

## Application Checklist for a Physical Containment Facility Level 2 Animal Facility

Checklist against the requirements under Part A of the Gene Technology Regulator's  
*Guidelines for Certification of a Physical Containment Level 2 Animal Facility Version 3.1 - 1 July 2007*

### Please Note

- The use of this checklist proforma is **not** mandatory in order to conduct an inspection of certified facilities for compliance with the requirements of certification under Part A of *Guidelines for Certification of a Physical Containment Level 2 Animal Facility Version 3.1 - 1 July 2007*. Rather, it is provided to assist those who find it convenient to use for the above mentioned.
- This proforma is based on the requirements of certification as detailed in the Gene Technology Regulator's *Guidelines for Certification of a Physical Containment Level 2 Animal Facility Version 3.1 - 1 July 2007* (the Guidelines). **Applicants must refer to these guidelines for full details of the requirements before confirming compliance on the application form.**
- If in answer to any of the questions below it is indicated that the facility does not meet the requirements of certification under Part A of the Guidelines or may not be able to meet the expected conditions of certification, as listed in Part B of the Guidelines, then this information should be reported under section 3 of the *Application for the Certification of a Physical Containment Facility* along with any suggested strategies to enable certification of the facility.
- **Please do not send this report to the OGTR unless specifically requested.**

<b>Organisation name:</b>	
<b>Facility name:</b>	
<b>Inspected by:</b>	
	<b>Date:</b>

<b>Facility and fitting requirements</b>				
		<b>Yes</b>	<b>No</b>	<b>Notes/Details</b>
<b>Facility</b>	Fully enclosed space bounded by walls, doors, windows, floors and ceilings			
<b>Anteroom</b>	Facility has an anteroom and entry to the facility is via the anteroom			
<b>Facility boundaries</b>	Boundaries (walls, doors, floors, ceiling etc) are designed to prevent the escape of the animals being contained			
<b>Drainage exits</b>	Drainage exits are fitted with barriers to prevent arthropods or animals from entering or escaping the facility			
<b>Dealings involving GM micro organisms</b> (these requirements must also be met if any of the dealings in the facility are to involve GM micro organisms)				
<b>Openings</b>	Any openings in the walls, ceiling or roof are filtered at the boundary or screened with fine mesh screens to prevent entry or exit of arthropods or animals			

		Yes	No	Notes/Details
<b>Surfaces</b>	Smooth, impermeable to water, cleanable and resistant to damage by cleaning agents used in the facility			
<b>Open spaces under benches and equipment</b>	Accessible for decontamination			
<b>Decontamination of hands</b>	Hands free washbasin fitted <b>OR</b>			
	Other means of decontamination of hands			
<b>Eyewash</b>	Equipment provided (either a plumbed eyewash or single use packs of sterile eye irrigation fluids)			
<b>Aerosol containment</b>	PC2 GM dealings proposed will produce aerosols			
	<b>If yes</b> - BSC installed (Class I or Class II BSC must be installed in accordance with the requirements of AS/NZS 2647:2000) <b>OR</b>			
	Other equipment to contain aerosols to be used (will require written permission from the Regulator)			

		Yes	No	Notes/Details
<b>Backflow prevention</b>	Device or system that may cause contamination of a potable water supply with GMOs requiring PC 2 containment connected directly or indirectly to any part of a water service			
	<b>If yes</b> - Backflow risk assessment has been carried out			
	Backflow prevention necessary and prevention measures implemented in accordance with the requirements of section 4 of AS/NZS 3500.1:2003			
<b>Capacity to comply with certification conditions</b>				
<b>Capacity to comply</b>	Demonstrated capacity to comply with the conditions of certification that will generally be applied to a certified PC2 Animal Facility (these conditions are set out under Part B of <i>Guidelines for Certification of Physical Containment Facilities PC2 Animal Facility Version 3.1 – issued 1 July 2007</i> )			