



Australian Government

**Department of Health and Ageing
Office of the Gene Technology Regulator**

Annual Checklist for a Physical Containment Facility Level 1 Large Grazing Animal Facility

Checklist against the requirements for certification under the Gene Technology Regulator's
Guidelines for Certification of Physical Containment Facilities PC1 Large Grazing Animal Facility Version 2.1 – 30 March 2007

Please Note

- The use of this checklist proforma is **not** mandatory in order to satisfy the annual inspection requirement of Condition 4 of Part B of the *Guidelines for Certification of Physical Containment Facilities PC1 Large Grazing Animal Facility Version 2.1 – 30 March 2007* (the Guidelines). A completed copy of this proforma will be accepted by the OGTR as the annual inspection report for a certified facility under Condition 4, but the proforma is **not** intended to be the **only** acceptable format for the report. Rather, it is provided to assist those who find it convenient to use in the annual inspection of certified facilities for compliance with the conditions of certification under Condition 4.
- **Please do not send this report to the OGTR unless specifically requested.**

About Completing this Proforma

The conditions in this proforma are the usual conditions of certification as detailed in the Gene Technology Regulator's *Guidelines for Certification of Physical Containment Facilities PC1 Large Grazing Animal Facility Version 2.1 – 30 March 2007*.

- Where an exemption or variation to one or more conditions of certification has been approved by the Regulator (or delegate) then inspection must be made against the conditions as approved on the instrument of certification for the facility.
- In such cases you can make a note in the space provided and report on compliance against the variation to the usual condition that is detailed on the proforma.
- If answering '**No**' to a condition for which there is no exemption or variation, please make a comment about the reason for the non-compliance and any actions being taken to rectify the situation.

| | |
|---------------------------|--------------|
| Organisation name: | |
| Facility name: | |
| Inspected by: | |
| | Date: |

| Facility and fitting conditions | | | | |
|--|---|------------|-----------|----------------------|
| | | Yes | No | Notes/Details |
| Facility construction | Maintained with at least two physical barriers that prevent the escape of the species being contained. Barriers also prevent entry of animals of the same species | | | |
| | If barriers are fencing alone – perimeter fencing is comprised of at least two complete rows of fencing | | | |
| | If first barrier is a building – is fully enclosed and surrounded by a second physical barrier | | | |
| Gates and doors | Designed to prevent the escape of the species being contained. Also able to prevent entry of animals of same species | | | |
| | Gates and doors are lockable | | | |
| Signage | Labelled with a sign on outermost barrier displaying a unique identifier | | | |

| | | Yes | No | Notes/Details |
|--|--|-----|----|---------------|
| Ownership of facility | Certification holder owns or has authority to maintain facility and fittings | | | |
| | If no – has owner failed to carry out or refused to carry out required maintenance | | | |
| Facility barriers | Inspected for damage at least every 3 months | | | |
| | Was inspected immediately after any storms, heavy rain, flooding or other events that could damage barriers | | | |
| Obligations of the certification holder in respect to the users of the facility | | | | |
| Authorised persons | Facility access restricted to authorised persons (as described in Part B, conditions 5 to 9, of the <i>Guidelines for Certification of Physical Containment Facilities PC1 Large Grazing Animal Facility Version 2.1 – 30 March 2007</i>) | | | |
| Behavioural Requirements | | | | |
| Training | Persons conducting dealings with GMOs in the facility have been trained in the behavioural requirements (as listed in the <i>Guidelines for Certification of Physical Containment Facilities PC1 Large Grazing Animal Facility Version 2.1 – 30 March 2007</i>) | | | |